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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/677,223
Filing Date	October 3, 2003
First Named Inventor	Douglas McGhan
Art Unit	2633
Examiner Name	N/A
Total Number of Pages in This Submission: 8	Attorney Docket Number: 9-13528-207US

ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please Identify below):
  Request for Filing Certificate Correction

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kent Daniels Reg. No. 44,206
Signature	K. Daniels
Date	February 16, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	**Douglas McGhan, et al.**
Filed:	**October 3, 2003**
Serial No.:	**10/677,223**
Title:	**OPTICAL E-FIELD MODULATION USING A MACH-ZEHNDER INTERFEROMETER?**
Examiner:	**N/A**
Group Art Unit:	**2633**
Attorney Docket No.:	**9-13528-207US**
Agent for the Applicant:	**Kent Daniels**
	613-780-8673

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35 U.S.C. 254
Certificate of Correction
of Patent and Trademark Office Mistake

Sir:

Upon review of the Filing Receipt (copy enclosed), we have noticed an error in the Domestic Priority Data. The Domestic Priority Data should read "United States Patent Application Nos. 10/262,944 , filed October 3, 2002; United States Patent Application No. 10/307,466 filed December 2, 2002; and United States Patent Application 10/405,236 filed April 3, 2003" rather than being blank as shown.

We have also notice an error in the spelling of the city of inventor Yves Beaulieu. The city should read "Gatineau" rather than Gatuneau as shown.

We enclose a replacement Application Data Sheet reflecting the information as above.

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The Office is courteously requested to issue a corrected Filing Receipt. We look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,

By _____
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Registration No. 44,206
Attorney for Applicant

KD/sm
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/677,223	10/03/2003	2633	770	9-13528-207us	4	8	1

CONFIRMATION NO. 8603

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FILING RECEIPT

OC000000011632777

Date Mailed: 01/07/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Douglas McGhan, Ottawa, CANADA;
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Yves Beaulieu, Gatuneau, CANADA;

Assignment For Published Patent Application

NORTEL NETWORKS LIMITED, ST. LAURENT, CANADA;

Domestic Priority data as claimed by applicant

Foreign Applications

CANADA PCT/CA03/01044 07/11/2003

If Required, Foreign Filing License Granted: 01/06/2004

Projected Publication Date: 01/13/2005

Non-Publication Request: No

Early Publication Request: No

Title

Optical E-field modulation using a Mach-Zehnder interferometer

Preliminary Class

398

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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